BMJ Open Effects of the COVID-19 pandemic on the mental health of clinically extremely vulnerable children and children living with clinically extremely vulnerable people in Wales: a data linkage study

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ABSTRACT

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Dr Laura Elizabeth Cowley; I.e.cowley@swansea.ac.uk **Objectives** To determine whether clinically extremely vulnerable (CEV) children or children living with a CEV person in Wales were at greater risk of presenting with anxiety or depression in primary or secondary care during the COVID-19 pandemic compared with children in the general population and to compare patterns of anxiety and depression during the pandemic (23 March 2020–31 January 2021, referred to as 2020/2021) and before the pandemic (23 March 2019–31 January 2020, referred to as 2019/2020), between CEV children and the general population.

Design Population-based cross-sectional cohort study using anonymised, linked, routinely collected health and administrative data held in the Secure Anonymised Information Linkage Databank. CEV individuals were identified using the COVID-19 shielded patient list. **Setting** Primary and secondary healthcare settings covering 80% of the population of Wales.

Participants Children aged 2–17 in Wales: CEV (3769); living with a CEV person (20 033); or neither (415 009). Primary outcome measure First record of anxiety or depression in primary or secondary healthcare in 2019/2020 and 2020/2021, identified using Read and International Classification of Diseases V.10 codes. Results A Cox regression model adjusted for demographics and history of anxiety or depression revealed that only CEV children were at greater risk of presenting with anxiety or depression during the pandemic compared with the general population (HR=2.27, 95% CI=1.94 to 2.66, p<0.001). Compared with the general population, the risk among CEV children was higher in 2020/2021 (risk ratio 3.04) compared with 2019/2020 (risk ratio 1.90). In 2020/2021, the period prevalence of anxiety or depression increased slightly among CEV children, but declined among the general population.

Conclusions Differences in the period prevalence of recorded anxiety or depression in healthcare between CEV children and the general population were largely driven by a reduction in presentations to healthcare services by children in the general population during the pandemic.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ Strengths of this study include its national focus and clinical relevance; to our knowledge, this is the first population-based study examining the effects of the COVID-19 pandemic on healthcare use for anxiety or depression among clinically extremely vulnerable (CEV) children and children living with a CEV person in Wales.
- ⇒ We compared 2020/2021 data with prepandemic 2019/2020 data for CEV children and children in the general population, to place the impact of the COVID-19 pandemic in the context of longer-term patterns of healthcare use.
- ⇒ We used a novel approach and linked multiple datasets to identify a cohort of children living with a CEV person in Wales during the COVID-19 pandemic.
- ⇒ There was heterogeneity within the shielded patient list that was used to create the cohorts of children identified as CEV or living with a CEV person, in terms of the type and severity of individuals' underlying conditions; the manner in which people were added to the list; the time point that people were added to the list; and the extent to which people followed the shielding guidance.
- ⇒ Routinely collected healthcare data does not capture self-reported health and is likely to underestimate the burden of common mental disorders in the population.

INTRODUCTION

In March 2020, Welsh Government and the Welsh National Health Service sought to protect people deemed clinically extremely vulnerable (CEV) to severe illness or death from COVID-19, advising them to 'shield' at home, that is, to remain indoors and minimise contact with others.¹ To identify CEV people, a shielded patient list (SPL) was created, using an algorithm based on clinical code lists and applied centrally to patients' electronic health records.² Additionally,

health professionals could add people to the list based on their clinical judgement. Shielding was in place from 23 March 2020 to 16 August 2020 and reintroduced from 22 December 2020 to 1 April 2021.¹ CEV children were encouraged to return to school at the end of August 2020 taking into account the low rate of severe disease and death from COVID-19 among children, balanced against the harms of a lack of schooling and socialisation.³

Studies have highlighted the detrimental impact of the COVID-19 pandemic on the mental health of CEV adults, with CEV individuals more likely to report increased depressive symptoms and anxiety⁴ and to have a clinical record of anxiety or depression during the pandemic compared with those who were not CEV.¹ Meanwhile, studies have reported decreased diagnoses of mental health conditions in primary care across the population as a whole during the pandemic^{5 6} (attributed to a reluctance to seek healthcare, or reduced access to services, rather than a decrease in need). However, there is limited evidence on how children accessed healthcare during the pandemic for their mental health, and no studies focusing on CEV children or children living with a CEV person.

Children are particularly vulnerable to indirect impacts of the pandemic.⁷ Drawing on evidence from longitudinal surveys,^{8–10} the department for education concluded that children's mental health declined during the pandemic, reporting that rates of probable mental health disorders were higher from 2020 than before.¹¹ The data also highlighted variation in mental health trajectories; children with long-term health conditions were more likely to experience poor mental health during the pandemic.¹¹ However, the extent to which these trends are attributable to the pandemic, or are a continuation of pre-existing upward trends, is unclear.

Almost 5000 CEV children were living in Wales by July 2020; approximately 3.9% of the Welsh CEV population.¹² Children with chronic illnesses are at increased risk of behavioural and emotional problems and psychiatric disorders compared with their peers,¹³ but CEV children may be particularly susceptible to mental health difficulties relative to non-CEV children since the pandemic, due to additional restrictions imposed by shielding guidance, potentially exacerbating loneliness and isolation.¹⁴ CEV children may have also experienced heightened health anxiety due to their potential higher risk of severe illness from COVID-19. Additionally, there were almost 14400 school-aged children living with a CEV person in June 2020¹⁵ who may be at greater risk of mental health difficulties due to both restrictions to protect the vulnerable members of their household, and fears of causing harm.¹⁶

We investigated the impact of the COVID-19 pandemic on use of healthcare services for anxiety or depression in Wales, for CEV children, children living with a CEV person and children in the general population, using routinely collected population-level linked data. The primary aim was to determine whether CEV children or children living with a CEV person were more likely to have a record for anxiety or depression in primary or secondary care during the pandemic compared with children in the general population. The secondary aim was to compare patterns of anxiety or depression in 2019/2020 and 2020/2021 between CEV children and the general population, to place the impact of COVID-19 and the shielding guidance in the context of longer-term patterns of healthcare use.

METHODS

Study design and data sources

This is a population-based cross-sectional cohort study using anonymised, linked, routinely collected health and administrative data for the population of Wales, UK, held in the Secure Anonymised Information Linkage (SAIL) Databank (www.saildatabank.com). Within the SAIL Databank, encrypted linkage fields are used to link data anonymously from various sources at individual and household level (online supplemental appendix pp 1-2); known as anonymised linking fields (ALFs) for individuals and residential anonymised linking fields (RALFs) for residences.^{17 18} We used these to link multiple datasets in this study (table 1). General practices (GPs) opt-in to providing data to SAIL; currently, SAIL contains primary care data for around 80% of the Welsh population, and the available data are representative of the entire Welsh population with respect to age, sex and deprivation.¹⁹ The SAIL Databank was interrogated using DB2 Structured Query Language.

Patient and public involvement

The study protocol was presented at the SAIL consumer panel meeting prior to study commencement. This panel consists of members of the public with an interest in data and its uses to improve services and healthcare. The panel provided advice and feedback on the study design from a public perspective.

Data access and cleaning methods

All authors had full access to all the data in the study. Data cleaning included deduplication and restructuring of the SPL prior to cohort creation and analysis and was undertaken by LEC.

Study population and setting

We created three study cohorts for 2020: (1) CEV children (2) children living with at least one CEV person and (3) a general population group of children who were *not* identified as CEV or living with a CEV person, along with two further cohorts for 2019 for comparison purposes. Children who were both CEV and living with a CEV person were categorised as CEV. Figure 1 shows a flow diagram of the inclusion criteria for each cohort. We included all children aged 2–17 years who were alive, living in Wales and registered with a GP that supplies data to the SAIL Databank on 23 March 2020 and who had either an exact match on National Health Service (NHS)

Table 1 Dataset	s used in this study	the CEV person,
Dataset	Description	recorded as resid or (3) children v
Welsh Demographic Service dataset	A register containing demographic information about all individuals registered at a Welsh General Practice (GP)	younger CEV chi these were unlik containing more
COVID-19 shielded people list (CVSP)	A dataset containing information about clinically extremely vulnerable individuals in Wales, including reasons for shielding	erty Reference N is considered ina total of 20033 ch
Welsh Index of Multiple Deprivation 2019	A dataset containing deprivation scores corresponding to all Lower-layer Super Output Areas (LSOAs; geographic units comprised of around 1600 individuals) in Wales ²⁴	Cohort 3: children in Cohort 3 consist living in Wales a: on 23 March 202
Rural Urban Classification dataset	A dataset containing information on urban/rural categories corresponding to all LSOAs in Wales	CEV children in Wal
Annual District Death Extract	A register containing details of all deaths of Welsh residents, including information regarding date and cause of death	To explore longe among children v shielding guidanc 'CEV' children w
Outpatient dataset	A dataset containing attendance information for all hospital outpatient appointments in Wales	children in a per demic cohort e mental health o
National Community Child Health Database	A register of children born in Wales, containing data collected at birth, including a maternal anonymised linking field to link children with their biological mothers	pandemic were ance, or whether health compared of having to shie
Patient Episode Database for Wales	A dataset containing attendance and clinical information for all hospital admissions in Wales, including data regarding diagnoses	living in Wales at 1 year prior to th 2019) and who h condition catego
Care homes data	A dataset containing residential information about adult care homes in Wales	(respiratory illno nosuppression they only requir
Welsh Longitudinal	A dataset containing attendance and clinical information for all GP interactions	codes within a gi with these cond

clinical information for all GP interactions Longitudinal General Practice including symptoms, diagnoses and dataset prescriptions

number or demographics (name, date of birth, gender code and postcode) or a probabilistic match of 90% or greater.¹⁷ We excluded those for whom full demographic or residence data were not available.

Cohort 1: CEV children

Cohort 1 consisted of all children who were identified as CEV (either by algorithm² or health professionals) between 23 March 2020 and 16 August 2020 (N=3769).

Cohort 2: children living with at least one CEV person

To identify children living with a CEV person, we first identified the RALF for all CEV people in Wales as of 23 March 2020, including dates of residence. To minimise bias, we then adopted a conservative approach and included (1) children who were recorded as residents at the same RALF on 23 March 2020 and had an entry date of residence within 6 months of the entry date of 2) children born to mothers who were t at the same RALF as the CEV person o shared the same maternal ALF as a We excluded (1) adult care homes as to contain children²⁰ and (2) RALFs nan 10 people, as the Unique Propaber from which the RALF is derived urate in this case.²¹ This resulted in a ren in cohort 2.

e general population

of all other children who were alive, registered with an SAIL-supplying GP N=415009).

n 2019 (pre-COVID-19 CEV children)

erm patterns of anxiety or depression h the conditions included within the we created a cohort of pre-COVID-19 had similar health concerns to CEV prior to 2020. Creation of this prepanoled us to explore whether adverse comes for CEV children during the ly attributable to the shielding guid-V children experience poorer mental th the general population regardless We included children who were alive, registered with an SAIL-supplying GP introduction of shielding (23 March one or more of three of the health es warranting inclusion on the SPL es, blood or bone cancer, and immuapy). These categories were chosen as the patient to have one of the listed time period, and therefore children with these conditions could be identified with a high degree of certainty. We used International Classification of Diseases (ICD) V.10 diagnostic codes and Operating Procedures Codes Supplement (OPCS) Classification of Interventions and Procedures V.4 codes for these categories, which were taken from the SPL documentation and provided in the online supplemental appendix pp 4-5. For comparison purposes, we also created a general population cohort of children aged 2-17 who were alive, living in Wales and registered with an SAIL-supplying GP as of 23 March 2019. A flow diagram of inclusion criteria for these cohorts is provided in the online supplemental appendix p 6. We performed a sensitivity analysis to confirm the validity of this approach (online supplemental appendix pp 7-9).

Measures

Outcome of interest: risk of anxiety or depression

The outcome of interest was the first record of anxiety or depression in primary or secondary healthcare data during the COVID-19 pandemic (ie, 23 March 2020-31 January 2021, referred to as 2020/2021) and pre pandemic (23 March 2019-31 January 2020, referred to as 2019/2020).

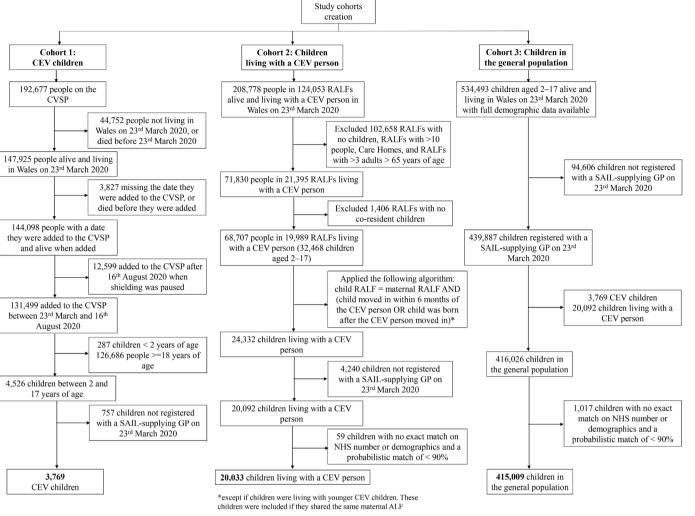


Figure 1 Flow diagram of the inclusion criteria for the creation of three study cohorts: clinically extremely vulnerable children, children living with a clinically extremely vulnerable person and children in the general population. CEV, clinically extremely vulnerable; CVSP, COVID-19 shielded people list; GP, general practice; NHS, National Health Service; RALF, residential anonymised linking field; SAIL, Secure Anonymised Information Linkage.

We included any healthcare visit where anxiety or depression was documented in the electronic health record. We used validated Read V.2 codes to identify children with primary care records for anxiety²² or depression²³ (including diagnoses, symptoms and prescriptions) in the Welsh Longitudinal General Practice dataset. Read codes are a hierarchical terminology system that encode clinical, diagnostic and therapeutic patient information and enable data entry of patient care information following a primary care consultation. Separate Read codes are used to record a patients' reported past medical history, or to note that a clinician is aware of a past condition. We used ICD-10 diagnostic codes to identify children with hospital admissions or outpatient appointments for anxiety or depression in the Patient Episode Database for Wales and outpatient dataset datasets. If children had multiple records of anxiety or depression during the relevant time periods, we sequenced these and selected the record with the earliest date. Code lists were reviewed by a clinician with expertise in child psychiatry (JT) and are provided

in the online supplemental appendix pp 10–14. The primary outcome was the *time to the first record* of anxiety or depression for the children in each cohort, and the secondary outcome was the *period prevalence* of anxiety or depression for the children in each cohort.

Covariates: history of anxiety or depression

We used the same process to identify children in the study population with a 'recent' or 'past' history of anxiety or depression, defined as any record in the year prior to the pandemic (23 March 2019–23 March 2020), and any record occurring any time before 23 March 2019, respectively.¹

Covariates: demographics

We calculated age and determined Lower-layer Super Output Areas (LSOA) as of 23 March 2020. LSOA codes were derived from the Welsh Demographic Service Dataset based on the child's RALF, and used to ascertain deprivation quintiles and urban/rural classification by linking to the Welsh Index of Multiple Deprivation 2019²⁴ and Rural Urban Classification datasets.

Statistical analysis

We used R V.4.1.1 for statistical analyses. P values of <0.05 were considered statistically significant.

Examining risk of anxiety or depression between the different cohorts in 2020/2021

We tested the hypothesis that there was no difference in the risk of having a record of anxiety or depression in 2020/2021 between the three cohorts (CEV children, children living with a CEV person and children in the general population). We plotted Kaplan-Meier survival curves for each cohort. We used Cox regression to calculate unadjusted and adjusted HRs with 95% CIs. We report three models examining the risk of having a record of anxiety or depression during the pandemic compared with the general population; (1) unadjusted, (2) adjusted for demographic factors (age group, sex, deprivation and rurality) and (3) adjusted for demographic factors and previous history of anxiety or depression (no history, recent history, past history or both recent and past history).

Examining risk of anxiety or depression between the different cohorts in 2019/2020

We tested the hypothesis that there was no difference in the risk of having a record of anxiety or depression in 2019/2020 between two cohorts ('CEV' children and all other children living in Wales in 2019). As above, we calculated unadjusted and adjusted HRs, reporting three models, and plotted Kaplan-Meier survival curves for each cohort.

Comparing the risk of anxiety or depression in children between 2019/2020 and 2020/2021

We calculated the change in the period prevalence of anxiety or depression for CEV or 'CEV' children, and all other children living in Wales, between 2019 and 2020.

Study reporting

This study is reported in accordance with the Reporting of Studies conducted using Observational Routinely-collected data guidelines²⁵ (online supplemental appendix pp 15-23).

RESULTS

Descriptive statistics and demographic characteristics of the study population

Demographic characteristics of the 2020/2021 study population are presented in table 2, and for the 2019/2020 study population in the online supplemental appendix p 24. For both years, there were greater proportions of boys, older children (aged 13–17), children living in the least and most deprived quintiles and children with a history of anxiety or depression in the CEV children than for the general population. In 2020/2021, a greater proportion of children living with a CEV person were older (aged 13–17) and had a history of anxiety or depression, compared with the general population

Table 2Characteristics of clinically extremely vulnerable (CEV) children, children living with a CEV person and a generalpopulation group of children neither CEV nor living with a CEV person, 2020/2021, Wales

		General population	CEV children	χ^2 statistic, df, and p value, general population v. CEV children	Children living with a CEV person	χ^2 statistic, df, and p value, general population v. children living with a CEV person
Ν		415009	3769		20033	
Sex (%)	Male	212311 (51.2)	2184 (57.9)	χ ² =68.6, df=1, p<0.001	10247 (51.2)	χ ² =0.0, df=1, p=0.989
	Female	202698 (48.8)	1585 (42.1)		9786 (48.8)	
Age group	2–7	150999 (36.4)	1141 (30.3)	χ ² =84.0, df=2, p<0.001	6692 (33.4)	χ ² =93.7, df=2, p<0.001
(years) (%)	8–12	137310 (33.1)	1247 (33.1)		6678 (33.3)	
	13–17	126700 (30.5)	1381 (36.6)		6663 (33.3)	
Deprivation	1	106075 (25.6)	1014 (26.9)	χ ² =11.1, df=4, p=0.025	5058 (25.2)	χ ² =10.8, df=4, p=0.029
quintile (Welsh Index	2	87930 (21.2)	773 (20.5)		4107 (20.5)	
of Multiple	3	73106 (17.6)	635 (16.8)		3611 (18.0)	
Deprivation	4	69789 (16.8)	589 (15.6)		3488 (17.4)	
2019) (%)	5	78109 (18.8)	758 (20.1)		3769 (18.8)	
Rural/urban	Rural	110682 (26.7)	971 (25.8)	χ ² =1.5, df=1, p=0.217	5444 (27.2)	χ ² =2.5, df=1, p=0.116
area (%)	Urban	304327 (73.3)	2798 (74.2)		14589 (72.8)	
Any history	Yes	17986 (4.3)	368 (9.8)	χ ² =261.5, df=1, p<0.001	1131 (5.6)	χ ² =78.0, df=1, p<0.001
of anxiety or depression (%)	No	397 023 (95.7)	3401 (90.2)		18902 (94.4)	

Table 3	Proportion of clinically extremely vulnerable
children	with different conditions contributing to underlying
reasons	to shield

Reason for shielding	Number and percentage of clinically extremely vulnerable children (n=3769)
Rare diseases	1227 (32.6%)
Organ disease	735 (19.5%)
Respiratory illness	660 (17.5%)
Immunosuppression therapy	491 (13%)
Cancer	147 (3.9%)
Transplant	132 (3.5%)
Renal dialysis	10 (0.3%)
General practice referred (reason unknown)	582 (15.4%)
Other (reason unknown)	22 (0.6%)

In children whose reasons for shielding were known (3165/3769), 6.3% (198/3165) had more than 1 condition.

(table 2). The conditions leading to children being identified as CEV in 2020/2021 are shown in table 3.

Risk of anxiety or depression in children in 2020/2021

Numbers of censored children in each group (due to death, migration or registration with a non-SAIL supplying GP) and numbers with a record for anxiety or depression are presented in table 4. Of those with a record, 5768/6251 (92.3%) presented to the primary care, while 483/6251 (7.7%) presented to the secondary care.

Table 4Number of children who were censored, with noevent, or who had a record of anxiety or depression during2020/2021

	General population		Children living with a clinically extremely vulnerable person
Ν	415009	3769	20033
Died (%)	12 (0.003)	6 (0.2)	0 (0.0)
Moved out of Wales (%)	7297 (1.8)	68 (1.8)	245 (1.2)
Moved to a non Secure Anonymised Information Linkage- supplying general practice (%)	5664 (1.4)	31 (0.8)	262 (1.3)
No event (%)	396267 (95.5)	3505 (93.0)	19203 (95.9)
Anxiety or depression during the pandemic 2020/2021 (%)	5769 (1.4)	159 (4.2)	323 (1.6)

In the unadjusted model, both CEV children and children living with a CEV person were at significantly greater risk of having a record of anxiety or depression during the pandemic compared with the general population (HR=3.09, 95% CI=2.64 to 3.61, p<0.001 and HR=1.16, 95% CI=1.04 to 1.30, p<0.05, respectively). For CEV children, the HR remained significant when adjusting for demographic factors including age, sex, deprivation and rurality (table 5), and when adjusting for demographic factors and previous clinical history of anxiety or depression (table 6). However, for children living with a CEV person, the HR was no longer significant in either of the adjusted models. The unadjusted survival curves for each cohort are shown in figure 2.

Risk of anxiety or depression in children in 2019/2020

In 2019/2020, in the unadjusted model there was an increased risk of having a record of anxiety or depression among the 'CEV' children compared with the general population (HR=1.94, 95% CI=1.31 to 2.87, p<0.001). This remained evident in the adjusted models (tables 7 and 8). The unadjusted survival curves for each cohort are shown in figure 3.

Difference in the risk of records of anxiety or depression between 2019/2020 and 2020/2021

In 2019/2020, 'CEV' children had increased risk of having recorded anxiety or depression compared with children in the general population, and in 2020/2021 the risk ratio increased to 3.04 (table 9). This reflects a marked decline in presentation among children in the general population over this period (from 2.19% to 1.39%), alongside a small increase for CEV children (from 4.17% to 4.22%).

DISCUSSION

In Wales, CEV children and children living with a CEV person were more likely to access health services for anxiety or depression during the pandemic than children in the general population. For CEV children, this pattern remained evident after adjusting for demographic differences and the likelihood of having a previous history of anxiety or depression. Although a small increase in risk was found for children living with a CEV person, after adjusting for demographic characteristics and previous history of anxiety and depression, this was no longer significant.

Both before and during the pandemic, the groups with the greatest risk of having a record for anxiety or depression were adolescents aged 13–17, and those with both a past and recent history of anxiety or depression. Females were also more likely than males to have a record for anxiety or depression in both time periods. These findings are in line with previous studies reporting worse mental health in female adolescents compared with male adolescents²⁶; a higher prevalence of anxiety and depression in adolescents and females compared with younger children and males during the pandemic²⁷;

		HR	95% CI	P value
Cohort	General population	Reference group		
	Clinically extremely vulnerable (CEV) children	2.81	2.40 to 3.29	< 0.001
	Children living with a CEV person	1.09	0.97 to 1.22	0.14
Sex	Male	Reference group		
	Female	1.94	1.84 to 2.04	<0.001
Age group	2–7	Reference group		
	8–12	5.21	4.58 to 5.92	<0.001
	13–17	19.39	17.20 to 21.86	< 0.001
Deprivation quintile (Welsh Index of Multiple Deprivation 2019)	1 (most deprived)	1.13	0.89 to 1.05	<0.01
	2	1.05	0.95 to 0.97	0.19
	3	1.05	0.95 to 0.97	0.23
	4	1.10	0.91 to 1.01	<0.05
	5 (least deprived)	Reference group		
Rural/urban area	Urban	Reference group		
	Rural	1.02	0.98 to 0.97	0.45

Table 5Multivariable analysis of risk factors for having a record of anxiety or depression during the COVID-19 pandemic (23March 2020–31 January 2021), reported using HRs and 95% CIs (model adjusting for demographic factors only)

and worse mental health outcomes during the pandemic for those with pre-existing mental health difficulties.²⁸ This suggests that moving forward, it will be important to prioritise mental health support for female adolescents, and particularly for children who have concomitant physical and mental health conditions.

Given the detailed methodology used to identify CEV individuals in Wales,² we were able to develop a

Table 6Multivariable analysis of risk factors for having a record of anxiety or depression during the COVID-19 pandemic (23March 2020–31 January 2021), reported using HRs and 95% CIs (model adjusting for both demographic and mental healthfactors)

		HR	95% CI	P value
Cohort	General population	Reference group		
	Clinically extremely vulnerable (CEV) children	2.27	1.94 to 2.66	<0.001
	Children living with a CEV person	1.02	0.91 to 1.14	0.746
Sex	Male	Reference group		
	Female	1.58	1.50 to 1.66	< 0.001
Age group	2–7	Reference group		
	8–12	4.56	4.01 to 5.18	<0.001
	13–17	11.05	9.78 to 12.49	< 0.001
Deprivation quintile (Welsh Index	1 (most deprived)	1.02	0.95 to 1.10	0.565
of Multiple Deprivation 2019)	2	0.98	0.90 to 1.06	0.614
	3	1.00	0.92 to 1.09	0.965
	4	1.07	0.98 to 1.16	0.127
	5 (least deprived)	Reference group		
Rural/urban area	Urban	Reference group		
	Rural	1.02	0.96 to 1.02	0.464
History of anxiety or depression	No history	Reference group		
	Past history only	5.13	4.75 to 5.53	<0.001
	Recent history only	8.75	8.12 to 9.44	<0.001
	Both recent and past history	18.98	17.52 to 20.55	<0.001

Probability of no record of anxiety or depression

1.00

0.95

0.90

0.85

0.80

0.75

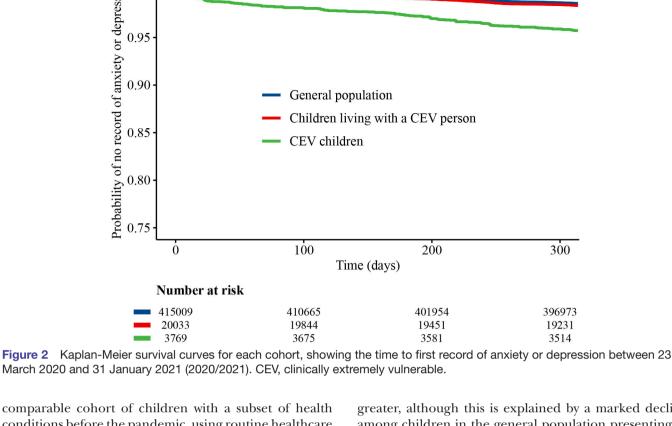
0

415009

20033

3769

Number at risk



comparable cohort of children with a subset of health conditions before the pandemic, using routine healthcare data. This enabled us to examine patterns of presentation for anxiety and depression among CEV children outside of the context of the pandemic. We found this group were at greater risk of having a record for anxiety or depression compared with children in the general population in 2019/2020, before COVID-19. In 2020/2021, CEV children remained at higher risk, and the difference was

greater, although this is explained by a marked decline among children in the general population presenting to healthcare services with anxiety or depression during this time.

The reduction in presentations for anxiety and depression among children in the general population most likely reflects reduced access to NHS services during the pandemic. Other evidence suggests increased demand and unmet need for mental health support

Table 7 Multivariable analysis of risk factors for having a record of anxiety or depression between 23 March 2019 and 31 January 2020, reported using HR and 95% CIs (model adjusting for demographic factors only)

		HR	95% CI	P value
Cohort	General population	Reference group		
	Clinically extremely vulnerable children	2.03	1.37 to 3.01	< 0.001
Sex	Male	Reference group		
	Female	1.85	1.77 to 1.93	<0.001
Age group	2–7	Reference group		
	8–12	4.60	4.17 to 5.08	<0.001
	13–17	18.50	16.89 to 20.27	<0.001
Deprivation quintile (Welsh Index of Multiple Deprivation 2019)	1 (most deprived)	1.32	1.25 to 1.41	<0.001
	2	1.22	1.15 to 1.31	<0.001
	3	1.13	1.06 to 1.22	<0.001
	4	1.09	1.02 to 1.17	<0.05
	5 (least deprived)	Reference group		
Rural/urban area	Urban	Reference group		
	Rural	0.97	0.93 to 1.02	0.21

Table 8Multivariable analysis of risk factors for having a record of anxiety or depression between 23 March 2019 and 31January 2020, reported using HR and 95% Cls (model adjusting for both demographic and mental health factors)

		HR	95% CI	P value
Cohort	General population	Reference group		
	Clinically extremely vulnerable children	2.03	1.37 to 3.01	< 0.001
Sex	Male	Reference group		
	Female	1.54	1.48 to 1.61	< 0.001
Age group	2–7	Reference group		
	8–12	4.13	3.74 to 4.56	< 0.001
	13–17	11.56	10.53 to 12.68	< 0.001
Deprivation quintile (Welsh Index	1 (most deprived)	1.23	1.15 to 1.30	< 0.001
of Multiple Deprivation 2019)	2	1.14	1.07 to 1.22	< 0.001
	3	1.09	1.02 to 1.16	< 0.05
	4	1.05	0.98 to 1.13	0.17
	5 (least deprived)	Reference group		
Rural/urban area	Urban	Reference group		
	Rural	0.97	0.92 to 1.02	0.19
History of anxiety or depression	No history	Reference group		
	Past history only	4.50	4.21 to 4.81	< 0.001
	Recent history only	8.44	7.94 to 8.96	< 0.001
	Both recent and past history	16.97	15.85 to 18.18	<0.001

in the UK, for children with and without pre-existing mental health problems, since 2020.^{29 30} Findings from self-report surveys⁸⁻¹⁰ and the current study suggest that the pandemic has widened the gap between need and access to mental healthcare for the general population

of children in Wales, but additional data are required to unpack the relationship between self-reported mental health needs and presentation to healthcare services.

Meanwhile, the relatively stable period prevalence of anxiety and depression for CEV children in 2019/2020

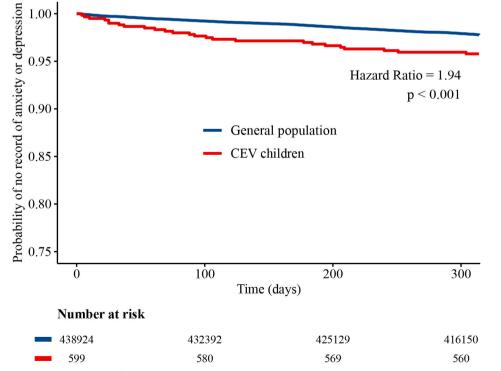


Figure 3 Kaplan-Meier survival curves for each cohort, showing the time to first record of anxiety or depression between 23 March 2019 and 31 January 2020 (2019/2020). CEV, clinically extremely vulnerable.

Time period

points

2019/2020 (Pre-COVID-19) 2020/2021 (During COVID-19)

Percentage point change over time

i	n 2019/2020 and	2020/202	1		-	-	. ,
	CEV children 2020/2021 – chi through shielde 2019/2020 – co children with the the shielded part	ed patient mparable ne conditio	list cohort of	Children in the Wales	general p	opulation in	
	No. children with recorded anxiety or depression	Total no. of children	Period prevalence (%)	No. children with recorded anxiety or depression	Total no. of children	Period prevalence (%)	Crude risk ratio
	25	599	4.17	9620	438924	2.19	1.90
	159	3769	4.22	5769	415009	1.39	3.04
			-0.05			0.8	
l S I I I I I	at this group d health needs o t years, and tha port through e itions. Alternati ne increase in 1 re among the g	during at they xisting vely, if mental ceneral	strength of t with prepar children in the use of a to identify a during the p	L	compariso 020 data fo pulation. a using mu dren living	on of 2020/20 or CEV childs Another stre ltiple linked g with a CEV	021 data ren and ength is datasets person
7	The among the general mask unmet demand this group. A survey splanation, reporting re symptoms among th non-shielding indi-during the pandemic. This study used the SPL to create cohorts of children identified as CEV and a cohort of children living with CEV person. There was heterogeneity within the SPL terms of the type and severity of individuals' underlying conditions; the manner in which people were added			g with a SPL in lerlying			

Table 9 Risk of records of anxiety or depression in children (aged 2–17 years) who were clinically extremely vulnerable (CEV) and those in the general population, in

and 2020/2021 could indicate that experience an increase in mental h the pandemic over and above past y had access to mental health support care pathways for underlying conditi CEV children experienced the same health needs as reported elsewhere population, then these figures may m for mental health support among the of adults supports the latter expl increased anxiety and depressive shielding individuals compared with viduals.⁴ However, we have found no UK surveys focusing on the mental health of CEV children.

Our finding that children living with a CEV person were at no greater risk of presenting with anxiety or depression during the pandemic compared with the general population (after controlling for other factors), could be interpreted in two ways. It is possible that the impact of the pandemic on the mental health of children living with a CEV person was not as great as for CEV children, but this seems unlikely given that research has suggested increased anxiety among children who were shielding their siblings.¹⁶ Another explanation is that children living with a CEV person suffered from similar barriers to access to mental healthcare services to the general population and did not have the same routes to access that CEV children did. This explanation is supported by research with shielding families, which suggests that they have felt left behind and that children living with a CEV person may have 'fallen under the radar of educational and healthcare professionals'.¹⁶

Strengths and limitations

To our knowledge, this is the first population-based study examining the effects of the COVID-19 pandemic on healthcare use for anxiety or depression among CEV children and children living with a CEV person in Wales. Linkage of population-based routinely collected data is a valuable method for generating evidence with a high level

ren h a in. ing to the list (via the algorithm or clinical judgement); the time point that people were added to the list; and the extent to which people followed the shielding guidance. In addition, the impact of following shielding guidance is likely to have varied due to individual circumstances and the level of support received. The 2019 'CEV' cohort was a relatively small sample and for pragmatic reasons, only included children with a subset of the conditions included in the shielding guidance. To identify children living with a CEV person, we adopted a conservative approach and included children only if they were living with their mother. We took this approach in order to minimise bias and increase the generalisability of the findings; however, this approach is likely to have underestimated the number of children living with a CEV person. Finally, this study focused on healthcare use using clinical codes. Routinely collected healthcare data does not capture self-reported health, and is likely to underestimate the burden of common mental disorders in the population.³¹ Focusing on healthcare use with routine data alone cannot tell us about the underlying reasons for changes in utilisation, or the scale of mental health need.

Implications for policy and practice

Our findings have implications for recovery planning to prevent, mitigate and respond to the mental health impacts of the pandemic. We have shown changes in presentation to primary and secondary healthcare

services with anxiety and depression for CEV children and children in the general population during the pandemic, and there are concerns regarding potential increases in unmet mental health needs over time. As highlighted by UK organisations, such as the Centre for Mental Health,³² services face challenges in tackling this demand. This has been recognised by Welsh Government, who invested an additional £9.4 million in children's mental health services in 2021.³³

This novel linked data study contributes to our understanding of the direct and indirect impact of shielding on children's mental health in Wales during the COVID-19 pandemic. This evidence should be considered in light of additional, more detailed routine healthcare linkage studies, and national surveys, to provide a comprehensive understanding of the relationship between mental health support needs, expressed demands and care provision to better target services to those who need them the most.

Beyond the indirect impacts of the pandemic, our findings highlight the increased mental health needs of children with serious medical conditions. Given that these children are likely to have greater contact with healthcare services, signposting across services, including mental health services, is likely to be beneficial.

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Contributors LEC: study design, literature search, data curation, data analysis, figures, data interpretation, writing—original draft, writing—review and editing. KH: data analysis, data interpretation, supervision, writing—review and editing. JS: conceptualisation, study design, methodology, data curation, data analysis, data interpretation, supervision, writing—review and editing. TW: methodology, writing—review and editing. JT: validation, writing—review and editing. AJ: methodology, funding acquisition, writing—review and editing. AB: methodology, funding acquisition, writing—review and editing. AB: methodology, data interpretation, supervision, funding acquisition, study design, data analysis, data interpretation, supervision, funding acquisition, writing—review and editing. LEC and JS verified the underlying data. ARD was responsible for the overall content as the guarantor.

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Competing interests AJ is a member of the Welsh Government COVID-19 Technical Advisory Group and is also cochair of the Scientific Pandemic Insights Group on Behaviours, which is a subgroup of the Scientific Advisory Group for $\ensuremath{\mathsf{Emergencies}}$ advising the UK government. None of the other authors have any competing interests.

Patient and public involvement Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication Not applicable.

Ethics approval This study used anonymised data and therefore did not require National Research Ethics Committee approval. Approval to access and link the data within the Secure Anonymised Information Linkage Databank was granted by the Information Governance Review Panel under project number 1265.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data may be obtained from a third party and are not publicly available. The data used in this study are available in the Secure Anonymised Information Linkage (SAIL) Databank at Swansea University (Swansea, UK) via the Adolescent Mental Health Data Platform, but, as restrictions apply, they are not publicly available. All proposals to use SAIL data are subject to review by an independent Information Governance Review Panel (IGRP). Before any data can be accessed, approval must be given by the IGRP. The IGRP carefully considers each project to ensure proper and appropriate use of SAIL data. When access has been granted, it is gained through a privacy-protecting safe haven and remote access system referred to as the SAIL Gateway. SAIL has established an application process to be followed by anyone who would like to access data via SAIL, details of which can be found at https://saildatabank.com/data/apply-to-work-with-the-data/.

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Appendix

SAIL databank additional information

SAIL databank information governance policies and procedures

The SAIL databank (www.saildatabank.com) is an internationally recognised, remotelyaccessible, privacy-protecting data safe haven designed to support observational, interventional, and policy-relevant research to improve population health, well-being, and services.^{1–6} SAIL contains anonymised, linkable, routinely collected health, administrative, and social care data for the population of Wales, UK, from multiple sources at individual, household, and ecological levels.¹⁻⁶ Data are anonymised using a split-file process, which has been described in detail elsewhere.^{1–3} Within each dataset, identifiable and non-identifiable data are separated, and identifiable data are sent to a trusted third party (TTP), Digital Health and Care Wales (DHCW; previously known as the NHS Wales Informatics Service). The TTP uniquely matches identities based on name, NHS number, date of birth, and Unique Property Reference Number (UPRN), using the Matching Algorithm for Consistent Results in Anonymised Linkage, which has an accuracy of 99.85%.^{1,2} Individuals and residences are then assigned unique identifiers: for individuals this is called an Anonymised Linking Field (ALF) and for residences a Residential Anonymised Linking Field (RALF). The anonymised and non-identifiable data components are then recombined within SAIL and the linking fields are further encrypted and used to anonymously link between datasets. This enables data from multiple sources, including general practice (GP) data, hospital admissions, outpatient data, and demographic details to be linked at the individual and household level, while preserving anonymity.

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Ethical Approval

The Information Governance Review Panel (IGRP) is an independent panel of representatives from various government, regulatory, and professional organisations, who review all proposals for SAIL data access to ensure that they are appropriate with respect to Information Governance, and in the public interest.¹ Data were analysed within the SAIL secure research environment, and appropriate disclosure control procedures were followed to ensure that no personally identifiable data or small numbers (n<5) were removed from the environment. All data within SAIL are treated in accordance with the Data Protection Act 2018 and SAIL complies with the principles of the General Data Protection Regulation (GDPR).

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ICD-10	Description		
code			
C81	Hodgkin lymphoma		
C82	Follicular lymphoma		
C83	Non-follicular lymphoma		
C84	Mature T/NK-cell lymphomas		
C85	Other and unspecified types of non-Hodgkin lymphoma		
C86	Other specified types of T/NK-cell lymphoma		
C88	Malignant immunoproliferative diseases		
C90	Multiple myeloma and malignant plasma cell neoplasms		
C91	Lymphoid leukaemia		
C92	Myeloid leukaemia		
C93	Monocytic leukaemia		
C94	Other leukaemias of specified cell type		
C95	Leukaemia of unspecified cell type		
C96	Other and unspecified malignant neoplasms of lymphoid,		
	haematopoietic and related tissue		
D470	Histiocytic and mast cell tumours of uncertain and unknown behaviour		
D475	Chronic eosinophilic leukaemia [hypereosinophilic syndrome]		
D477	Other specified neoplasms of uncertain or unknown behaviour of		
	lymphoid, haematopoietic and related tissue		
D479	Neoplasm of uncertain or unknown behaviour of lymphoid,		
	haematopoietic and related tissue, unspecified		
D595	Paroxysmal nocturnal haemoglobinuria [Marchiafava-Micheli]		
D71X	Functional disorders of polymorphonuclear neutrophils		
D730	Hyposplenism		
D760	Langerhans' cell histiocytosis, not elsewhere classified		
D761	Haemophagocytic lymphohistiocytosis		
D898	Other specified disorders involving the immune mechanism, not		
	elsewhere classified		
D899	Disorder involving the immune mechanism, unspecified		
L412	Lymphomatoid papulosis		
P615	Transient neonatal neutropenia		

ICD-10 codes for cancers of the blood or bone marrow

ICD-10 codes for respiratory illnesses

ICD-10 code	Description
E84	Cystic Fibrosis
J84	Other interstitial pulmonary diseases
J620	Pneumoconiosis due to talc dust
J630	Aluminosis (of lung)
J631	Bauxite fibrosis (of lung)
J633	Graphite fibrosis (of lung)
J634	Siderosis
J635	Stannosis

J660	Byssinosis
J661	Flax-dresser disease
J662	Cannabinosis
J668	Airway disease due to other specific organic dusts
J670	Farmer lung
J671	Bagassosis
J678	Hypersensitivity pneumonitis due to other organic dusts
J684	Chronic respiratory conditions due to chemicals, gases, fumes and
	vapours
J688	Other respiratory conditions due to chemicals, gases, fumes and
	vapours
J698	Pneumonitis due to other solids and liquids
J701	Chronic and other pulmonary manifestations due to radiation
J703	Chronic drug-induced interstitial lung disorders
J840	Alveolar and parietoalveolar conditions
J983	Compensatory emphysema
J991	Respiratory disorders in other diffuse connective tissue disorders
M313	Wegener granulomatosis
P250	Interstitial emphysema originating in the perinatal period
Q334	Congenital bronchiectasis

OPCS-4 codes for immunosuppression therapy

OPCS-4 code	Description
X353	Active Inflammatory thyroid eye disease patients currently on
	weekly intravenous steroid infusion treatment (12 weekly
	injections regime) or immunospressant
X374	Intramuscular Immunotherapy
X385	Subcutaneous Immunotherapy
X891	Monoclonal antibodies Band 1
X892	Monoclonal antibodies Band 2
X893	Patients receiving maintenance treatment with rituximab,
	obinotuzimab or ofatumumab
X894	Somatostatin analogues Band 1
X895	Allergic emergency drugs Band 1
X961	Patients previously treated for haematological malignancy
	requiring IV immunoglobulin replacement
X962	Allergen immunotherapy drugs Band 1
X963	Poison management drugs Band 1

Pre-COVID-19 study cohorts

Figure 3 shows a flow diagram of inclusion criteria for the two 2019 study cohorts: children in the general population, and clinically extremely vulnerable children with blood or bone cancer or respiratory illnesses, or receiving immunosuppression therapy.

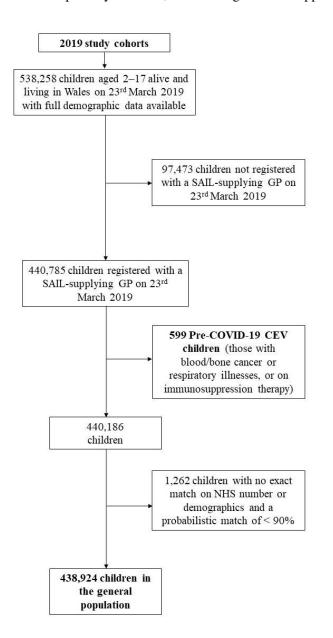


Figure 3. Flow diagram of the inclusion criteria for the creation of the 2019 study cohorts

Sensitivity Analysis

Supplemental material

We undertook a sensitivity analysis to confirm the validity of creating a cohort of pre-COVID-19 CEV children based on just three of the categories included in the COVID-19 Shielded People List (CVSP). We created a cohort of clinically extremely vulnerable (CEV) children who were added to the CVSP in 2020 for the same three reasons only (respiratory illnesses, blood/bone cancer, and immunosuppression therapy) and a cohort of children in the general population (figure 1). Demographic characteristics of the children in each cohort are presented in Table 1. We plotted the Kaplan-Meier survival curve for each cohort and estimated the hazard ratio of having a record for anxiety or depression during the COVID-19 pandemic (March 23rd 2020–January 31st 2021) using Cox regression (figure 2).

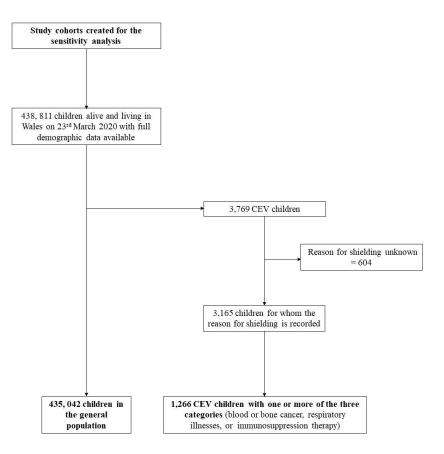


Figure 1. Flow diagram of the inclusion criteria for the creation of the study cohorts for the sensitivity analysis.

		General	CEV	Chi ² P
		population	children	value
		2020	with one or	
			more of	
			three	
			categories	
			2020	
Ν		435,042	1,266	
Sex (%)	Male	222,558 (51.2)	712 (56.2)	< 0.001
	Female	212,484 (48.8)	554 (43.8)	
Age group (%)	2–7	157,691 (36.2)	385 (30.4)	< 0.001
	8-12	143,988 (33.1)	396 (31.3)	
	13–17	133,363 (30.7)	485 (38.3)	
Deprivation quintile	1 (most deprived)	111,133 (25.5)	329 (26.0)	0.262
(WIMD 2019) (%)	2	92,037 (21.2)	257 (20.3)	
	3	76,717 (17.6)	210 (16.6)	
	4	73,277 (16.8)	203 (16.0)	
	5 (least deprived)	81,878 (18.8)	267 (21.1)	
Rural/Urban area	Rural	116,126 (26.7)	336 (26.5)	0.928
(%)	Urban	318,916 (73.3)	930 (73.5)	
Any history of	NO	415,925 (95.6)	1,166 (92.1)	< 0.001
anxiety or depression	YES	19,117 (4.4)	100 (7.9)	

Table 1. Demographic characteristics of children in the general population and clinically extremely vulnerable children added to the COVID-19 Shielded People List for one or more of three reasons (blood or bone cancer, respiratory illnesses, or immunosuppression therapy)

The results showed a similar pattern to those obtained when analysing the full CEV cohort in that CEV children with one or more of the three categories were at greater risk of having a record for anxiety or depression during the COVID-19 pandemic (March 23rd 2020–January 31st 2021) compared to children in the general population, thereby validating our approach.

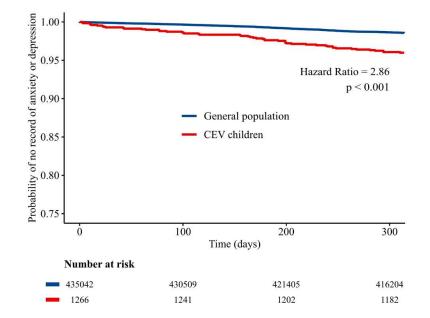


Figure 2. Kaplan-Meier survival curves for each cohort, showing the time to first record of anxiety or depression during the COVID-19 pandemic

CEV, clinically extremely vulnerable

Read v2 and ICD-10 diagnosis codes used to identify records of anxiety or depression in primary or secondary care

Read	v 2	codes	for	deni	ession	diagnosis	
ncau	• 4	coucs	101	ucpi	Coston	ulagnosis	,

Read v2 code	Description
Eu32.	[X]Depressive episode
Eu320	[X]Mild depressive episode
Eu321	[X]Moderate depressive episode
Eu322	[X]Severe depressive episode without psychotic symptoms
Eu324	[X]Mild depression
Eu32y	[X]Other depressive episodes
Eu32z	[X]Depressive episode, unspecified
Eu33.	[X]Recurrent depressive disorder
Eu330	[X]Recurrent depressive disorder, current episode mild
Eu331	[X]Recurrent depressive disorder, current episode moderate
Eu332	[X]Recurrent depressive disorder, current episode severe without
	psychotic symptoms
Eu334	[X]Recurrent depressive disorder, currently in remission
Eu33y	[X]Other recurrent depressive disorders
Eu33z	[X]Recurrent depressive disorder, unspecified
Eu341	[X]Dysthymia
E118.	Seasonal affective disorder
E135.	Agitated depression
E2B	Depressive disorder NEC
E2B1.	Chronic depression
E291.	Prolonged depressive reaction
E204.	Neurotic depression reactive type
E2B0.	Postviral depression
E112.	Single major depressive episode
E1120	Single major depressive episode, unspecified
E1121	Single major depressive episode, mild
E1122	Single major depressive episode, moderate
E1123	Single major depressive episode, severe, without psychosis
E1125	Single major depressive episode, partial or unspecied remission
E1126	Single major depressive episode, in full remission
E112z	Single major depressive episode NOS
E113.	Recurrent major depressive episode
E1130	Recurrent major depressive episodes, unspecified
E1131	Recurrent major depressive episodes, mild
E1132	Recurrent major depressive episodes, moderate
E1133	Recurrent major depressive episodes, severe, no psychosis
E1135	Recurrent major depressive episodes, partial/unspecified remission
E1136	Recurrent major depressive episodes, in full remission
E1137	Recurrent depression
E113z	Recurrent major depressive episode NOS

Read v2 codes for depression symptoms

Read v2 code	Description
1B17.	Depressed
1B1U.	Symptoms of depression
1BQ	Loss of capacity for enjoyment
1BT	Depressed mood
1BU	Loss of hope for the future
2257	O/E – depressed
1BP	Loss of interest

Read v2 codes for antidepressant prescriptions

Read v2 code	Description
d71	Amitriptyline hydrochloride
d71	Butriptyline - discontinued
d72	Clomipramine hydrochloride
d73	Desipramine hydrochloride
d74 d75	Dosulepin Hydrochloride
d75	Dosepin
d70	Imipramine hydrochloride
d77	Iprindole
	1
d79	Lofepramine
d7a	Maprotiline hydrochloride
d7b	Mianserin hydrochloride
d7c	Nortriptyline
d7d	Protriptyline hydrochloride
d7e	Trazadone hydrochloride
d7f	Trimipramine
d7g	Viloxazine hydrochloride
d7h	Amoxapine
d81	Phenelzine
d83	Isocarboxazid
d84	Tranylcypromine
d85	Moclobemide
d91	Compound Antidepressants A-Z
da1	Flupentixol [Antidepressant]
da2	Tryptophan
da3	Fluvoxamine Maleate
da4	Fluoxetine hydrochloride
da5	Sertraline hydrochloride
da6	Paroxetine hydrochloride
da7	Venlafaxine
da9	Citalopram
daA	Reboxetine
daB	Mirtazapine

daC	Escitalopram
daD	Agomelatine
gde	Duloxetine
d911.	Limbitrol 5 capsules - discontinued
d912.	Limbitrol 10 capsules - discontinued
d913.	Motipress tablets
x28CP	Discontinued
d914.	Motival tablets discontinued
d916.	Triptafen tablets - only one not discontinued?
d917.	Triftafen-M tablets - discontinued
d8	Monoamine-oxidase
d82	Iproniazid

Read v2 codes for anxiety diagnosis

Read v2 code	Description
Eu41.	[X]Other anxiety disorders
Eu410	[X]Panic disorder [episodic paroxysmal anxiety]
Eu411	[X]Generalized anxiety disorder
Eu413	[X]Other mixed anxiety disorders
Eu41y	[X]Other specified anxiety disorders
Eu41z	[X]Anxiety disorder, unspecified
E200.	Anxiety states
E2000	Anxiety state unspecified
E2001	Panic disorder
E2002	Generalised anxiety disorder
E2004	Chronic anxiety
E2005	Recurrent anxiety
E200z	Anxiety state NOS
E202	Phobic disorders
Eu40.	Phobic anxiety disorder
Eu930	[X]Separation anxiety disorder of childhood *
Eu931	[X]Phobic anxiety disorder of childhood*
Eu932	[X]Social anxiety disorder of childhood*
E2D0.	Disturbance of anxiety and fearfulness in childhood and adolescence*
E2D00	Childhood and adolescent overanxiousness disturbance*
E2D0z	Disturbance of anxiety and fearfulness in childhood and adolescence NOS*

Read v2 codes for anxiety symptoms

Read v2 code	Description
1B13.	Anxiousness
2258	O/E - anxious
1B12.	Nerves, nervousness
R2y2.	(D) nervousness

2259	O/E nervous
225J.	O/E panic attack
1B1V.	C/O panic attack

Read v2 codes for anxiety prescriptions – hypnotics

Read v2 code	Description
d11	Chloral hydrate
d12	Clomethiazole edisylate (hypnotic)
d13	Dichloralphenazone - discontinued
d14	Flumtrazepam - discontinued
d15	Flurazepam
d16	Loprazolam
d17	Lormetazepam
d18	Nitrazepam
d1a	Temazepam (hynotic)
d1b	Triazolam - discontinued
d1c	Triclofos sodium
d1d	Zopiclone
d1f	Zolpidem
d1g	Zaleplon
d1h	Melatonin
d1i	Dexmedetomidine

Read v2 codes for anxiety prescriptions – hypnotics

Read v2 code	Description	
d21	Diazepam	
d22	Alprazolam	
d23	Bromazepam	
d24	Chlordiazepoxide	
d25	Chlormezanone	
d26	Clobazam	
d27	Clorazepate dipotassium	
d28	Hydroxyzine hcl (anxiolytic)	
d29	Ketazolam - discontinued	
d2a	Lorazepam (anxiolytic)	
d2b	Medazepam - discontinued	
d2c	Meprobamate	
d2d	Oxazepam	
d2e	Prazepam - discontinued	
d2f	Buspirone hydrocholoride	
d2g	Flumazenil	

Read v2 codes for mixed anxiety and depression diagnosis

Read v2 code	Description	
E2003	Anxiety with depression	
Eu412	[X]Mixed anxiety and depressive disorder	

ICD-10 diagnosis codes for depression

ICD-10 code	Description	
F321	Moderate depressive episode	
F322	Severe depressive episode without psychotic symptoms	
F328	Other depressive episodes	
F329	Depressive episode, unspecified	
F33	Recurrent depressive disorder	
F330	Recurrent depressive disorder, current episode mild	
F331	Recurrent depressive disorder, current episode moderate	
F332	Recurrent depressive disorder, current episode severe without psychotic	
	symptoms	
F334	Recurrent depressive disorder, currently in remission	
F338	Other recurrent depressive disorders	
F339	Recurrent depressive disorder, unspecified	
F341	Dysthymia	

ICD-10 diagnosis codes for anxiety and mixed anxiety and depression

ICD-10 code	Description	
F40	Phobic anxiety disorders	
F400	Agoraphobia	
F401	Social phobias	
F402	Specific (isolated) phobias	
F408	Other phobic anxiety disorders	
F41	Other anxiety disorders	
F410	Panic disorder (episodic paroxysmal anxiety)	
F411	Generalized anxiety disorder	
F413	Other mixed anxiety disorders	
F418	Other specified anxiety disorders	
F419	Anxiety disorder, unspecified	
F930	Separation anxiety disorder of childhood	
F931	Phobic anxiety disorder of childhood	
F932	Social anxiety disorder of childhood	
F412	Mixed anxiety and depressive disorder	

The RECORD statement – checklist of items, extended from the STROBE statement, that should be reported in observational studies using routinely collected health data.

	Item No.	STROBE items	Location in manuscript where items are	RECORD items	Location in manuscript where
Title and abstract	l		reported		items are reported
	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	(a) The study design is indicated as a data linkage study in the title (b) We have provided an informative and balanced summary in the abstract	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included. RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or abstract. RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.	1.1 The type of data used is specified in the abstract. It was not possible to name all of the databases used in the abstract. 1.2 We have reported the geographic region within which the study took place in the title and the abstract, and the timeframe in the abstract 1.3 It is clearly stated in the title and the abstract that linkage between databases was conducted for the study
Introduction			I		
Background rationale	2	Explain the scientific background and rationale	The scientific background and rationale for the		

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		for the investigation being	investigation is explained		
		reported	in the introduction		
Objectives	3	State specific objectives,	The objectives are stated		
		including any prespecified	in the introduction		
		hypotheses			
Methods					
Study Design	4	Present key elements of	The study design is		
		study design early in the	reported at the beginning		
		paper	of the methods section,		
			under the subheading		
			"study design and data		
			source"		
Setting	5	Describe the setting,	The setting, locations,		
		locations, and relevant	and relevant dates are		
		dates, including periods of	described in the "study		
		recruitment, exposure,	population and setting"		
		follow-up, and data	section		
		collection			
Participants	6	(a) Cohort study - Give	(a) Eligibility criteria,	RECORD 6.1: The methods of	6.1 We have
		the eligibility criteria, and	and the sources and	study population selection (such	described in detail the
		the sources and methods	methods of selection and	as codes or algorithms used to	methods of study
		of selection of	follow-up of participants	identify subjects) should be	population selection
		participants. Describe	are given in the "study	listed in detail. If this is not	in the "study
		methods of follow-up	population and setting"	possible, an explanation should	population and
		Case-control study - Give	section	be provided.	setting" section and
		the eligibility criteria, and	(b) N/A this was not a		the "measures"
		the sources and methods	matched study	RECORD 6.2: Any validation	section
		of case ascertainment and	-	studies of the codes or	
		control selection. Give the		algorithms used to select the	6.2 We have
		rationale for the choice of		population should be	referenced the
		cases and controls		referenced. If validation was	validation studies of
				conducted for this study and not	the anxiety and

		Cross-sectional study - Give the eligibility criteria, and the sources and methods of selection of participants (b) Cohort study - For matched studies, give matching criteria and number of exposed and unexposed Case-control study - For matched studies, give matching criteria and the number of controls per case		 published elsewhere, detailed methods and results should be provided. RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage. 	depression codes we have used in our study in the "measures" section 6.3 We have provided a flow diagram with the number of individuals included in each cohort at each stage of linkage (Figure 1)
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	We have clearly defined all relevant measures in the "measures" section	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	7.1 We have provided a list of codes used in the study in the appendix
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	We have described all data sources and measures in the "study design and data sources" section and the "measures" section		

Bias	9	Describe any efforts to	We have explained that	
		address potential sources	we used a conservative	
		of bias	approach to identify	
			cohort participants in the	
			"study population and	
			setting" section	
Study size	10	Explain how the study	We have included a	
		size was arrived at	flowchart (Figure 1) to	
			describe the creation of	
			each of the study cohorts	
			and explained the process	
			of cohort creation,	
			referred to in the "study	
			population and setting"	
			section	
Quantitative	11	Explain how quantitative	Handling of quantitative	
variables		variables were handled in	variables is described in	
		the analyses. If applicable,	the "measures" section	
		describe which groupings		
		were chosen, and why		
Statistical	12	(a) Describe all statistical	(a) Statistical methods are	
methods		methods, including those	described in the	
		used to control for	"statistical analysis"	
		confounding	section	
		(b) Describe any methods	(b) N/A	
		used to examine	(c) N/A	
		subgroups and	(d) N/A	
		interactions	(e) Sensitivity analyses	
		(c) Explain how missing	are described in the	
		data were addressed	appendix	
		(d) Cohort study - If		
		applicable, explain how		

Data access and cleaning methods	loss to follow-up was addressed <i>Case-control study</i> - If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> - If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses 	RECORD 12.1: Authors should describe the extent to which the investigators had access to the	12.1 We described the extent to which the investigators had
		database population used to create the study population.	access to the database population in the "data access and
		RECORD 12.2: Authors should provide information on the data	cleaning methods" section
		cleaning methods used in the study.	12.2 We provided information on the
		5	data cleaning methods
			in the "data access and cleaning
			methods" section
Linkage		RECORD 12.3: State whether	12.3 The methods of
		the study included person-level,	linkage and quality
		institutional-level, or other data	evaluation are
		linkage across two or more	detailed in the "study
		databases. The methods of	design and data

				linkage and methods of linkage quality evaluation should be provided.	source" section and the "study population and setting" section and the flowchart (Figure 1)
Results					
Participants	13	 (a) Report the numbers of individuals at each stage of the study (<i>e.g.</i>, numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed) (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram 	 (a) Numbers of individuals at each study stage are provided in the flow diagrams (Figure 1) (b) Reasons for exclusion are provided on the flowchart (Figure 1) (c) We used a flowchart to illustrate selection of our study cohorts (Figure 1) 	RECORD 13.1: Describe in detail the selection of the persons included in the study (<i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	13.1 We described in detail the selection of the persons included in the study in the "study population and setting" section and Figure 1
Descriptive data	14	 (a) Give characteristics of study participants (<i>e.g.</i>, demographic, clinical, social) and information on exposures and potential confounders (b) Indicate the number of participants with missing data for each variable of interest 	 (a) Characteristics of study participants are given in Table 2 (b) N/A (c) N/A 		

		(c) <i>Cohort study</i> - summarise follow-up time (<i>e.g.</i> , average and total amount)		
Outcome data	15	Cohort study - Report numbers of outcome events or summary measures over time Case-control study - Report numbers in each exposure category, or summary measures of exposure Cross-sectional study - Report numbers of outcome events or summary measures	Numbers of outcome events are reported in the results section in Table 4	
Main results	16	 (a) Give unadjusted estimates and, if applicable, confounder- adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized 	 (a) We report unadjusted and adjusted estimates in the results section, and have explained why we adjusted for each factor (b) N/A (c) N/A 	

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Other analyses	17	 (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses 	We report on sensitivity analyses in the appendix		
Discussion		· · · · ·			
Key results	18	Summarise key results with reference to study objectives	Key results are summarised at the beginning of the discussion		
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Limitations are discussed in the 'Strengths and limitations' section including potential underestimation of our cohorts	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	We discussed the limitations of the Shielded Patient List in the 'Strengths and Limitations' section
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from	We have given a cautious and balanced interpretation of the results with reference to the previous literature in the discussion		

Generalisability	21	similar studies, and other relevant evidence Discuss the generalisability (external validity) of the study results	Discussed in the "strengths and limitations" section		
Other Information	n				
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	We have provided the funding source, and the role of the funders in the "Role of the funders" section		
Accessibility of protocol, raw data, and programming code				RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	We have provided information on how to access data within the SAIL databank

*Reference: Benchimol EI, Smeeth L, Guttmann A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

*Checklist is protected under Creative Commons Attribution (<u>CC BY</u>) license.

Demographic characteristics of the 2019 study population

		General population	CEV children 2019	Chi ² P value
		2019		
N		438,924	599	
Sex (%)	Male	224,754 (51.2)	347 (57.9)	< 0.001
	Female	214,170 (48.8)	252 (42.1)	
Age group (%)	2–7	162,730 (37.1)	231 (38.6)	0.412
	8–12	143,844 (32.8)	181 (30.2)	
	13–17	132,350 (30.2)	187 (31.2)	
Deprivation quintile (WIMD	1 (most deprived)	111,381 (25.4)	134 (22.4)	0.003
2019) (%)	2	93,046 (21.2)	137 (22.9)	
	3	77,600 (17.7)	88 (14.7)	
	4	74,288 (16.9)	94 (15.7)	
	5 (least deprived)	82,609 (18.8)	146 (24.4)	
Rural/Urban area (%)	Rural	118,418 (27.0)	165 (27.5)	0.790
	Urban	320,506 (73.0)	434 (72.5)	
Any history of anxiety or	NO	421,659 (96.1)	565 (94.3)	0.037
depression	YES	17,265 (3.9)	34 (5.7)	